



WALSER
PRIVATBANK

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JAN 26 2017

Form 210A (10/06)

EPIQ BANKRUPTCY SOLUTIONS, LLC

United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Mr. Wilhelm-Gustav Höppner

Walser Privatbank AG

Name of Transferee

Name and Address where notices to transferee should be sent:

Mr.
Wilhelm-Gustav Höppner
Pivitsheider Str. 118
32791 Lage

Phone: _____

Last Four Digits of Acct #: _____

Name of Transferor

Court Claim # (if known): 55814

Date Claim Filed: October 29, 2009

Amount of Claim: CHF 40.000

Portion of Claim Transferred (see Schedule I): CHF 40.000

Phone: +43 5517 202 551

Last Four Digits of Acct #: _____

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Willi. G. H. F.
Transferee/Transferee's Agent

Date: 07.11.2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Walser Privatbank AG** ("Transferor") unconditionally and irrevocably transferred to **Mr. Wilhelm-Gustav Höppner** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 55814** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON October 26, 2016.

Walser Privatbank AG



Florian Widmer
Vorstand



Gerd-Hendrik Bos
Leiter Marktservice, Prokurist

SCHEDULE I

Lehmann Programs Securities Related to Transferred Portion of Claim

ISIN	Court Claim #	Date Filed	Claim	Issuer	Number of Units or Currency and Nominal Amount
XS 0248620899	55814	October 29, 2009		Lehman Brothers Treasury BV	CH 40.000



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6991 Riezlern | Österreich
Telefon +43 (55 17) 202-01
info@walserprivatbank.com
www.walserprivatbank.com

Epiq Bankruptcy Solutions, LLC
Attn: Lehman Brothers Holdings Inc. Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

Ingrid Haggenmiller
Ingrid.haggenmiller@walserprivatbank.com

Riezlern, 09.01.2017

Transfer of claim

To whom it may concern,

attached please find the Form 210A and the Evidence of transfer of Claim Related to Transferred Portion of Claim from Walser Privatbank AG to Mr. Wilhelm-Gustav Höppner.

If there are any questions, please do not hesitate to contact us.

Sincerely yours,

Walser Privatbank AG

Ingrid Haggenmiller
Leiterin Wertpapierservice

Manuela Knauer
Mitarbeiterin Wertpapierservice